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**Low Altitude Plasma Line Anisotropy.**

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photoelectron distribution function. The calculated electron flux exhibits a low altitude, low energy anisotropy which is reflected in the plasma line measurements. Given anisotropic elastic electron-neutral cross-sections, the flux anisotropy arises when the local mean free path is of the order of the local scale height. The net effect is conversion of a spatial density in homogeneity into a velocity distribution anisotropy.



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## LOW ALTITUDE PLASMA LINE ANISOTROPY

### INTRODUCTION

Plasma line observations obtained from incoherent radar backscatter have been used as a ground-based method for deriving information about the size and anisotropy of the ionospheric photoelectron fluxes. In the past, interpretation of plasma line data has been confined to altitudes above the F2 peak where plasma lines enhanced by photoelectrons moving upward have larger amplitudes than those enhanced by downward moving photoelectrons. The net upward electron flux in the topside ionosphere arises because the photoelectron mean free path is large and within a mean free path, more electrons are produced just below a given point of observation than just above it.

At lower altitudes the photoelectron mean free path is small compared to the gradient scale length of the photoelectron production rate, yet plasma line anisotropy is still observed. Measurements below the peak were first shown by Yngvesson and Perkins (1968) and recently by Legeune and Kofman (1977). These observations have consistently shown anisotropy in the plasma line amplitude ranging from 20% to a factor of two for upgoing to downgoing particles. Measurements made at Arecibo have shown a 20-50% anisotropy.

In this paper we describe calculations of the plasma line intensity which use a detailed transport equation solution for the photoelectron distribution function. We postulate from the work described below that the observed low altitude anisotropy reflects the forward peaked property of the elastic electron neutral scattering cross sections. We further argue that given

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anisotropic cross sections, an anisotropy in the low altitude electron fluxes arises when the local mean free path is of the order of the local scale height.

## LOW ALTITUDE FLUX ANISOTROPY

The photoelectron transport calculation used in our plasma line studies has been described in Oran and Strickland (1976). Further technical description of the general method can be found in Strickland *et al.* (1976). Figures 1 and 2 show results of a typical calculation of the photoelectron flux as a function of pitch angle at 157 and 355 km for selected energies. Figure 3 shows the way in which the calculated flux anisotropy of 4 ev electrons varies with altitude.

The low altitude, the low energy flux anisotropy shown in Figures 1 and 3 arises as a consequence of the fact that the mean free path of an energetic photoelectron ( $E > 10$  ev) can be of the same order of magnitude as the local neutral density scale height. This fact, coupled with an anisotropy in the elastic electron-neutral collision cross sections, results in a net downward flow of particles. The cross sections for atomic oxygen, which are shown in Figure 4 (Blaha and Davis, 1975), show a typical angular dependence: they are forward peaked and reach a minimum at some angle greater than ninety degrees.

Some insight into the nature of this low altitude flux anisotropy can be obtained by looking at a simplified photoelectron calculation based on the limiting case in which electron-neutral collisions are assumed to be entirely forward scattered. We take the photoelectron equation of motion to be

$$\dot{v} = -\nu_{en}(z)v \quad (1)$$

where  $\nu_{en}$  is the electron-neutral collision frequency and  $z$  is the spatial coordinate in the vertical direction. Then we consider a neutral gas in one dimension with a density gradient such that



$$\nu_{en}(Z) = \nu_0 e^{-z/L}, \quad (2)$$

where  $L$  is the neutral density scale height. We then wish to solve an equation of the form

$$v \frac{\partial F_p}{\partial z} - \nu_{en} v \frac{\partial F_p}{\partial v} = \beta \delta(v \pm v_0), \quad (3)$$

which represents a steady state one dimensional Boltzmann equation for the distribution function,  $F_p$ , with a source of electrons at velocity  $\pm v_0$ . We assume that the electrons move through a medium in which their mean free path is very small compared to the gradient scale length of their production rate. This assumption is valid in the atmosphere up to about 200 km and allows us for the purposes of this discussion to set  $\beta$  equal to a constant

The solution to Eq. (3) is

$$F_p^\pm(v, z) = \frac{\beta}{\nu_0 \left\{ \nu_0 + \left[ \pm v_0 - v - \int_0^z \nu_{en} dz'/L \right] \right\}} \quad (4)$$

where  $\nu_0$  is the collision frequency at  $z = 0$ . The superscripts on  $f_p$ ,  $+$  and  $-$ , denote upgoing and downgoing particles, respectively. With no loss of generality we can define the altitude of interest to be  $z = 0$ , and Eq. (4) becomes

$$f_p^\pm(v, 0) = \frac{\beta}{[\nu_0 - (v \mp v_0)/L]}. \quad (5)$$

which is valid when  $(\nu_0 L - v_0) > 0$ . This condition guarantees that electrons created at  $z = \infty$  cannot reach  $z = 0$ . Violation of this constraint leads to a singularity in  $f_p^-$ , since  $\beta$  does not vanish at  $\infty$  in this simple model. We define an anisotropy parameter

$$A(|v|) = \frac{f_p^- (|v|)}{f_p^+ (|v|)} = \frac{\nu_0 + (v_0 - |v|)/L}{\nu_0 - (v_0 - |v|)/L}. \quad (6)$$

Note that there is no anisotropy when  $|v| = v_0$  since  $A(v_0) = 1$ . The anisotropy builds up as the electron energy degrades.

In order to concentrate specifically on the low energy particles, we define the local mean free path as  $L^{mfp} \equiv v_o/\nu_o$ , so that when  $L^{mfp}/L < 1$ ,

$$A(|v| \ll v_o) \approx \frac{1 + L^{mfp}/L}{1 - L^{mfp}/L} \quad (7)$$

which shows that a significant anisotropy exists at low energies when the local photoelectron mean free path is comparable to the scale height. For example, from Figure 5 we see that this condition is satisfied for 10 ev electrons at 150 km.

We emphasize that Equation 7 is the result of a qualitative calculation given to help understand the detailed simulation results shown in Figures 1, 2, and 3. Aside from the unrealistic source term, Equation 3 includes *only* forward scattering with no diffusion effects. Thus it *overemphasizes* the anisotropy. The detailed simulation is a solution of the steady state Boltzmann equation

$$v \frac{\partial F}{\partial z} + \dot{v} \cdot \nabla_v F = Q(z, v, t) + \frac{\partial F}{\partial t} \Big|_c, \quad (8)$$

where  $Q$  is a local source term,  $\dot{v} \cdot \nabla_v F$  represents the loss of energy by the photoelectrons to the ambient electrons through collisions and plasma wave excitations, and  $\partial F / \partial t|_c$  involves the details of elastic and inelastic collisions among electrons and neutral species. Solution of Equation (8) involves following the detailed degradation of the primary electron spectrum produced by ionization due to solar radiation and yields the distribution function,  $F(z, v, \mu)$  where  $\mu$  is the pitch angle (Oran and Strickland, 1976).

## PLASMA LINES FROM INCOHERENT RADAR SCATTER

When the radar wavelength,  $\lambda$ , is very much larger than the Debye length, the radar signal is scattered incoherently by electron density fluctuations. Because the ionosphere is a non-equilibrium plasma, the intensity of the electron density fluctuations is enhanced beyond that expected from purely thermal fluctuations. The fast photoelectrons feed energy into and



excite the plasma waves which in turn are Landau damped. The total amplitude of the plasma line signal represents an equilibrium between a number of processes which excite and damp the plasma waves scattering the radar beam. Collisions between thermal electrons and ions can excite plasma waves and the interaction with energetic photoelectrons can enhance the signal amplitude above the thermal equilibrium value. The waves are damped by electron collisions with ions and neutrals as well as by Landau damping.

The plasma line signal amplitude can be expressed in terms of a temperature,  $T_p$ , which reduces to  $T_e$  when the plasma is in thermal equilibrium. The upshifted (downshifted) signal is a result of scattering off of plasma waves excited by electrons moving primarily down (up) the magnetic field line. Thus the returned signals, proportional to  $T_p^+$  and  $T_p^-$ , may differ by an amount related to the degree of anisotropy in the photoelectron flux spectrum. The balance of processes exciting and damping the plasma waves leads to an expression for  $T_p$ ,

$$\frac{T_p(v_\phi)}{T_e} = \frac{\chi^{ei} + f_p + f_{mb}}{\chi^{ei} + f_{mb} + L_p}, \quad (9)$$

(Perkins and Salpeter, 1965a and b). In this equation  $f_{mb}$  and  $f_p$  are the Maxwellian and photoelectron contributions to the plasma line source term and  $\chi^{ei}$  represents the effects of electron collisions with ions and neutrals. The scattering occurs off of plasma oscillations of wavelength  $\lambda/2$  and phase velocity  $v_\phi = v_R \lambda/2$  and  $E_\phi = \frac{1}{2} m_e v_\phi^2$ . The returned plasma line signal is Doppler shifted about the radar frequency by an amount  $\pm \nu_R$ , where

$$\nu_R^2 = \nu_p^2 + \frac{12kT_e}{\lambda^2 m_e} + \nu_{ce}^2 \sin^2 \theta \approx \nu_p^2, \quad (10)$$

where  $\nu_p$  is the plasma frequency,  $m_e$  is the electron mass,  $\nu_{ce}$  is the electron cyclotron frequency, and  $\theta$  is the angle between the direction of radar beam propagation and the magnetic field.



In the presence of the earth's magnetic field,

$$f_{mb} = \frac{N_e}{\cos \theta} \left( \frac{m_e}{2kT_e} \right)^{1/2} \sum_{n=-\infty}^{\infty} e^{-b \sin^2 \theta} I_n(b \sin^2 \theta) e^{-(y-n)^2/2 \cos^2 \theta} \quad (11)$$

(Salpeter, 1961), where  $N_e$  is the ambient electron density,  $I_n$  is the Bessel function of imaginary argument,

$$y = \nu_R / \nu_{ce}, \quad (12)$$

$$b = \frac{kT_e}{m_e} \frac{4}{\lambda^2 \nu_{ce}^2}, \quad (13)$$

$$f_p(v_\phi) = \frac{2\pi}{\cos \theta} \sum_{n=-\infty}^{\infty} \int_{v_{\min}}^{\infty} v_\perp J_n^2(W) F(v_\perp, u_n) dv_\perp, \quad (14)$$

(Fremouw *et al.*, 1969), where  $J_n$  is the Bessel function of real argument,

$$W = \frac{2 v_\perp \sin \theta}{\lambda \nu_{ce}}, \quad (15)$$

$$u_n = \frac{\lambda (\nu_R - n \nu_{ce})}{2 \cos \theta}. \quad (16)$$

The  $v_\perp$  and  $u$  are the components of electron velocity perpendicular and parallel to the magnetic field, and  $F(v_\perp, u)$  is the three-dimensional velocity distribution function for electrons where  $u$  is evaluated at  $u_n$ . The lower unit of the integral,  $v_{\min}$ , corresponds to that velocity at which the photoelectron distribution function begins to deviate substantially from Maxwellian. The photoelectron contribution to the damping,  $L_p$ , can be expressed as

$$L_p = \frac{2\pi kT_e}{y \cos \theta} \sum_{n=-\infty}^{\infty} \int_{v_{\min}}^{\infty} v_\perp J_n^2(W) \left\{ \left[ \frac{(y-n)}{m_e u} \frac{\partial}{\partial u} + \frac{n}{m_e v_\perp} \frac{\partial}{\partial v_\perp} \right] F(v_\perp, u) \right\}_{u=u_n} dv_\perp. \quad (17)$$

Substitution of a Maxwellian distribution function into  $f_p$  and  $L_p$  both yield  $f_{mb}$ . The  $\chi^{ei}$  in Equation (9) is related to the electron-ion and electron-neutral collision frequencies by

$$\chi^{ei} = \frac{N_e}{\nu_p \alpha^3 \pi^3} \sqrt{\frac{m_e}{kT_e}} \nu_{ei}, \quad (18)$$

$$\alpha = \lambda/4\pi D \quad (19)$$

where  $D$  is the Debye length.

The plasma line is in thermal equilibrium,  $T_p = T_e$ , when either thermal electron Landau damping dominates or collisional damping dominates. Thus there is only a limited range of phase energies for which photoelectrons are the dominant contribution to the plasma line.

Figure 6 summarizes a set of plasma line data taken at Arecibo Observatory in February 27, 1977. The Arecibo Observatory is part of the National Astronomy and Ionosphere Center, which is operated by Cornell University under contract with the National Science Foundation. The plasma line measurements were interleaved with measurement of electron and ion temperatures and electron densities. We note that the anisotropy in plasma line temperatures shown in this data is typical of the 20-50% enhancement of  $T_p^+$  over  $T_p^-$  found at Arecibo. Uncertainties in the data points are small and arise only through calibration uncertainties. During the nighttime, the asymmetry in the upshifted and downshifted plasma lines disappears. Superimposed on the figure are the measured values of  $\nu_R$ .

## RESULTS

The approach we have taken is to calculate the magnitude and pitch angle anisotropy of the photoelectron distribution function from the detailed transport equation and then derive the plasma line temperature we would observe. In this way we do not expect the calculation to be restricted to high altitudes or a particular range of phase energies. Figure (7) shows calculations of  $T_p^+$  and  $T_p^-$  made with the photoelectron distribution function,  $F(z, v, \mu)$ , and Equations (9) through (20). The values of  $\nu_R$  superimposed in the profiles were determined by electron temperatures and densities measured at Arecibo Observatory.



Figure (8) graphically summarizes the important terms contributing to Equation (7). Below about 200 km,  $f_p^-$  is greater than  $f_p^+$ , indicating a small anisotropy in electron flux: more electrons are going down than up. However in this region  $T_p$  is determined primarily by a balance between the source term,  $f_p$ , and the loss term,  $L_p$ . From Figure (8) we see that up to about 200 km,

$$\frac{L_p^-}{L_p^+} > \frac{f_p^-}{f_p^+}$$

indicating that the relative difference between  $L_p^+$  and  $L_p^-$  is greater than that between  $f_p^+$  and  $f_p^-$ . In Figure (9) we have rewritten the terms in the denominator of Equation (9) in terms of damping rates.

At high altitudes,  $f_p^+$  is considerably larger than  $f_p^-$  and these remain the important source terms until about 400 km. The increasing importance of the damping due to thermal electrons tends to reduce the importance of the difference between  $L_p^+$  and  $L_p^-$ . Up to that altitude where thermal electrons are dominant and when  $E_\phi$  is very low,  $T_p^+$  is greater than  $T_p^-$ .

An important point we wish to make is that previous calculations of the source and loss terms due to photoelectrons treat photoelectrons with velocities less than  $v_\phi$  as Maxwellian. We note that especially at low altitudes the calculated photoelectron distribution function deviates strongly from Maxwellian at energies of a few ev. At altitudes below 200 km, our calculations indicate that 50-75% of  $L_p$  and 25-50% of  $f_p$  is evaluated in the integral range  $v_{\min}$  to  $v_\phi$ .

## CONCLUSION

The investigations we have made of the photoelectron flux in the ionosphere have uncovered an anisotropy in the low altitude, low energy electron flux spectra. This arises through the conversion of a spatial inhomogeneity in the neutral atmosphere into a velocity distribution



anisotropy. The key to this is the forward peaked property of the elastic electron-neutral cross sections. We conclude that the downgoing electron flux is slightly larger than the upgoing flux in the altitude range from about 130 to 200 km.

In the altitude range described above, the plasma line amplitude is a balance between a source term  $f_p$ , which excites plasma waves to characteristic temperatures appropriate for photoelectrons, and a Landau damping term  $L_p$ . Our calculations show that the low energy photoelectrons ( $E < E_\phi$ ) play a significant role in the damping. Treating them as Maxwellian severely underestimates their density and neglects their anisotropy.

#### ACKNOWLEDGMENTS

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Fig. 1 — Calculated photoelectron flux as a function of  $\mu$ , the cosine of the pitch angle, for selected energies at 157 km.

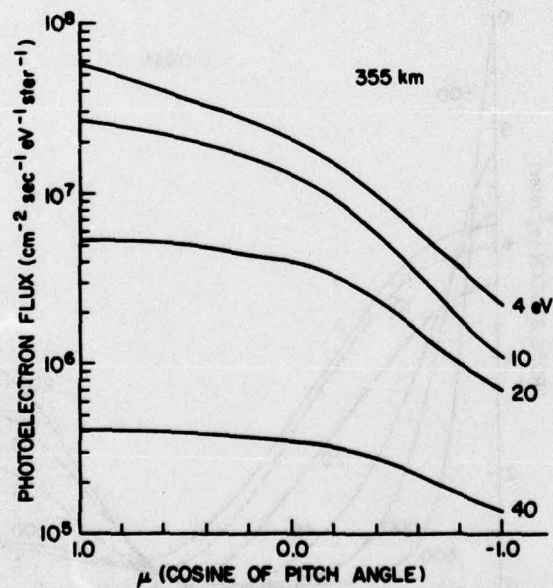
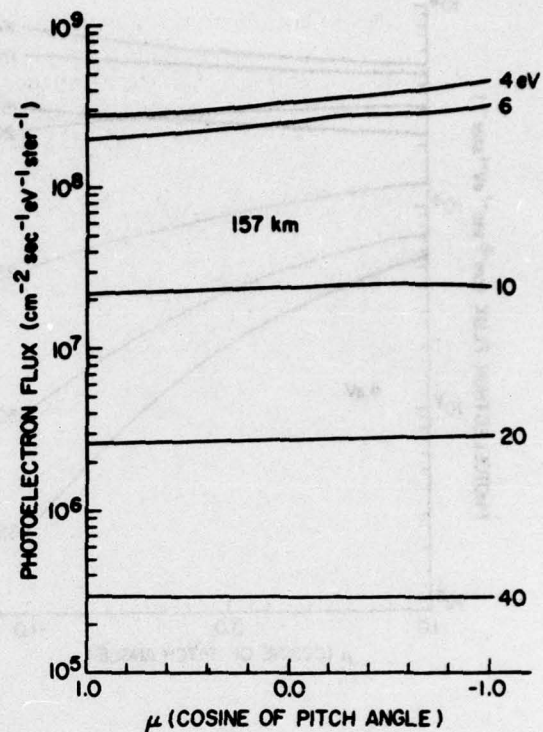


Fig. 2 — Calculated photoelectron flux as a function of pitch angle at 355 km.



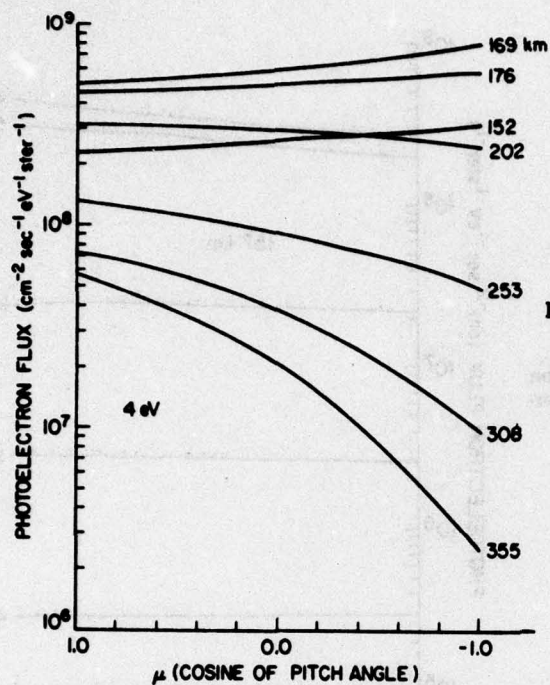
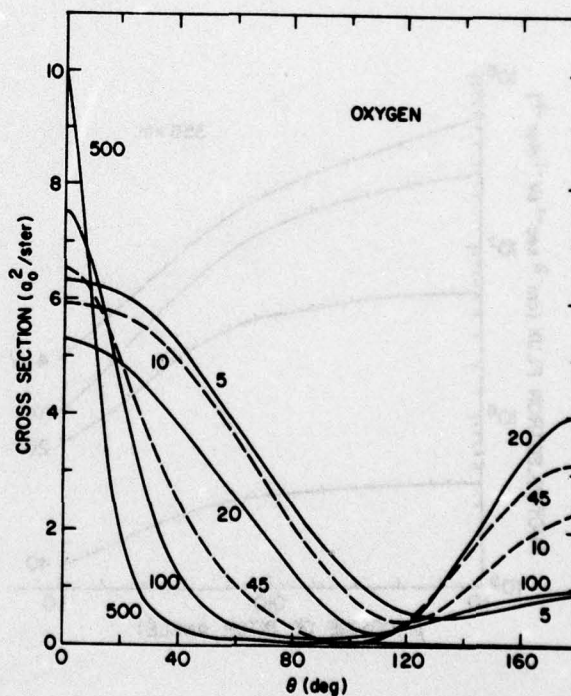


Fig. 3 — Calculated photoelectron flux at 4 eV as a function of pitch angle at selected altitudes.

Fig. 4 — Differential cross sections for elastic scattering of electrons on the ground state of atomic oxygen (Blaha and Davis, 1975). The labels represent energies in eV.



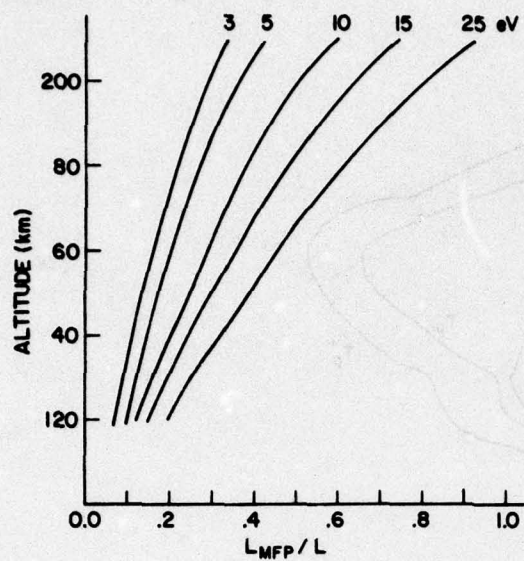


Fig. 5 — Ratio of the mean free path of electrons with energies between 3 and 25 eV to the neutral density scale height as a function of altitude.

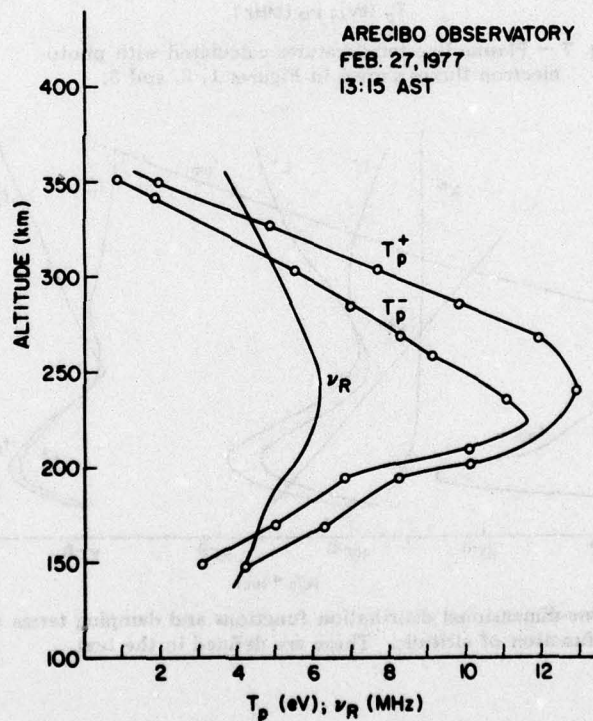


Fig. 6 — Plasma line temperatures and frequency measured at Arecibo Observatory.



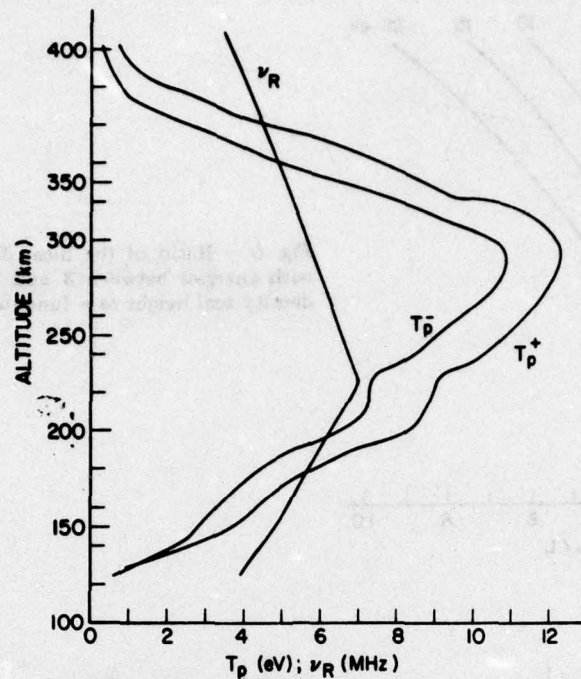


Fig. 7 — Plasma line temperatures calculated with photoelectron fluxes shown in Figures 1, 2, and 3.

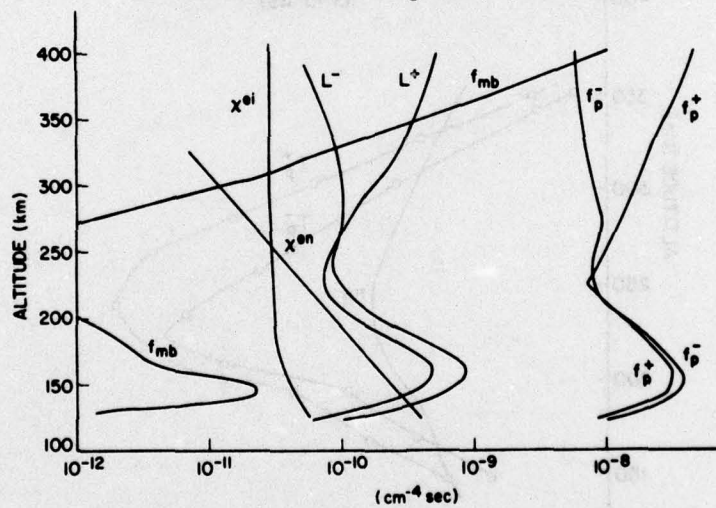


Fig. 8 — One-dimensional distribution functions and damping terms as a function of altitude. These are defined in the text.

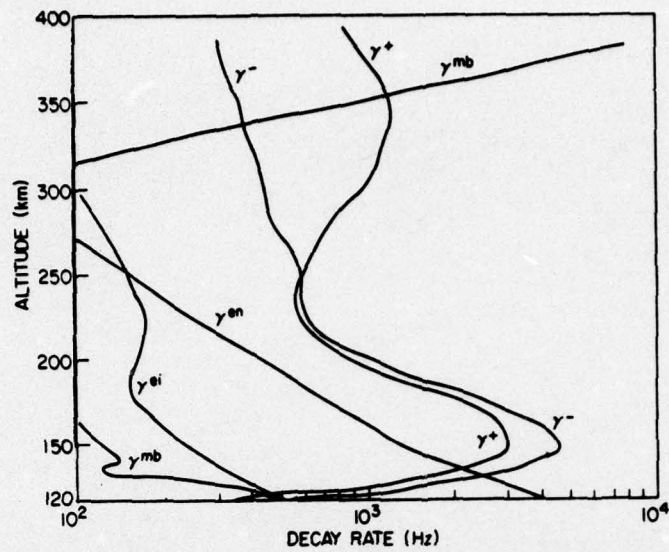


Fig. 9 — Damping rates due to electron ion and electron neutral collisions ( $\lambda^{ei}$  and  $\lambda^{en}$ ), collisions with the ambient electron gas  $\lambda^{mb}$ , and excitation of plasma waves ( $\lambda^{\pm}$ ).